





Governance and Legal Services	Assurance	50	40	Commissioning and Procurement	10
				Budgeting and forecasting	15
				Value for Money	0
				Governance	0
				Internal and external providers	0
Resources	Assurance	50	30	Partnerships and collaborative governance	0
				Commissioning and Procurement	15
				Budgeting and forecasting	15
				Value for Money	0
				Commissioning and Procurement	0
				Governance	0

Planned for audit in quarter			
1	2	3	4
0	0	10	0
0	15	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	15	0
0	15	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0

Status at Audit Committee in		
June	September	November
	Fieldwork	
		Cancelled
Final report issued		
Fieldwork	Draft	
Deferred		
	Fieldwork	
		Cancelled
Final report issued		
Final report issued		

Service specific audits	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
Social Services	Assurance	320	225	Partnerships and collaborative governance	25
				Commissioning and Procurement	25
				Budgeting and forecasting	25
				Value for Money	0
				Regional Social Care Training Unit	15
				Commissioning of independent investigations	20
				Domiciliary care	15
				Follow up audits	10
				Safeguarding arrangements	20
				Asset Management	10
				To be allocated following further discussion with Director (following appointment)	60
				Commissioning and Procurement	0
				Governance	0
				Effective Decision Making	0
<b>Total</b>		<b>1,200</b>	<b>1,000</b>		<b>1,000</b>
<b>External</b>	<b>Type of engagement</b>	<b>Original audit plan</b>	<b>Revised audit plan</b>	<b>Assignment</b>	<b>Days</b>
External clients	Assurance	10	25	Cardiff Further Education Trust Fund	2
				Joint committees (Glamorgan Archives, Prosiect Gwyrdd and Port Health)	10
				WLGA	5
				City Deal 2017/18	0
				City Deal 2018/19	5
				Rent Smart Wales - training	3
				Norwegian Church Trust Fund	0
Grants	Assurance	20	18	Other grants	8
				Education Improvement Grant	0
				Youth Innovation Grant	10
				Flying Start	0
<b>Total</b>		<b>30</b>	<b>43</b>		<b>43</b>

25	0	0	0
0	0	25	0
0	0	25	0
0	0	0	0
0	0	0	15
0	20	0	0
15	0	0	0
2.5	2.5	2.5	2.5
0	20	0	0
0	0	10	0
0	0	20	40
0	0	0	0
0	0	0	0
0	0	0	0
255.25	295.25	290.25	149.25
0	0	0	2
10	0	0	0
5	0	0	0
0	0	0	0
0	1	2	2
0	2.5	0	0
0	0	0	0
3.5	2.5	1.5	0.5
0	0	0	0
0	0	0	10
0	0	0	0
18.5	6	3.5	14.5

Fieldwork	Draft report issued	
	Fieldwork	
		Fieldwork
		Cancelled
Not yet started		
Ongoing		
		Researching and scoping
Final report issued		
Final report issued		
Final report issued		
Fieldwork	Final report issued	
Draft report issued		
Draft report issued	Final report issued	
	Training delivered	
Final report issued		
		Researching and scoping
Fieldwork	Final report issued	

Contingencies	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
General Audit		30	272	General Audit	249
				National Fraud Initiative	13
				NFI 2018 - creditors	5
				NFI 2018 - payroll	5
<b>Total</b>		<b>30</b>	<b>272</b>		<b>272</b>
Management	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
Support for Audit Committee / liaison with WAO	Consultation	50	50	Support for Audit Committee / liaison with WAO	50
Assurance mapping	Consultation	10	10	Assurance mapping	10
CRSA development	Consultation	20	20	CRSA development	20
Work requested by Audit Manager	Consultation	20	20	Work requested by Audit Manager	20
Audit Planning and monitoring	Consultation	20	20	Audit Planning and monitoring	20
Process Development	Consultation	20	20	Process Development	20
Review of Financial Rules etc	Consultation	40	40	Review of Financial Rules etc	40
Consultancy - advice and guidance	Consultation			Consultancy - advice and guidance	
<b>Total</b>		<b>180</b>	<b>180</b>		<b>180</b>
<b>Total chargeable days</b>		<b>2,100</b>	<b>2,100</b>		<b>2100</b>

Planned for audit in quarter			
1	2	3	4
0	0	125	120
12.5	0	0	0
0	0	0	5
0	0	0	5
12.5	0	125	120
12.5	12.5	12.5	12.5
2.5	2.5	2.5	2.5
5	5	5	5
5	5	5	5
5	5	5	5
5	5	5	5
10	10	10	10
45	45	45	45
<b>481</b>	<b>451</b>	<b>609</b>	<b>534</b>
525	525	525	525

Status at Audit Committee in		
June	September	November
Ongoing	Ongoing	
Continuous and ongoing	Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing	Continuous and ongoing